

| | | | |
|--|--|---|--|
| <p>FORM PTO-1390 (REV. 10-2003)</p> <p style="text-align: center;">TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</p> | | <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p style="text-align: center;">ATTORNEY'S DOCKET NUMBER 11A 3916 PCT</p> | |
| | | U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.5) 10/594,979 | |
| INTERNATIONAL APPLICATION NO. PCT/JP2004/014121 | INTERNATIONAL FILING DATE 21 September 2004 | PRIORITY DATE CLAIMED 29 March 2004 | |
| TITLE OF INVENTION AIRCRAFT HAVING A RING-SHAPED WING STRUCTURE | | | |
| APPLICANT(S) FOR DO/EO/US RIEKEN, WILLIAM D. and BENSCHIEDT, JOERG | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| <p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> not required since the original PCT application was filed in English. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> | | | |
| Items 11 to 19 below concern document(s) or information included: | | | |
| <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>18. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> Other items or information:</p> | | | |

| | | | | | |
|---|--|--|--------------|--------|---|
| U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/594,979 | INTERNATIONAL APPLICATION NUMBER PCT/JP2004/014121 | ATTORNEY'S DOCKET NUMBER 11A 3916 PCT | | | |
| <p>20. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>Basic national fee (37 CFR 1.492(a)).....\$300 Examination fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$ 0 All other situations.....\$200</p> <p>Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$ 0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400 All other situations.....\$500</p> | | CALCULATIONS PTO USE ONLY <hr/> | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | \$ 0 | | | |
| Surcharge of \$130 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. 1.492(e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 | | \$ 130 | | | |
| CLAIMS | NUMBER FILED | NUMBER ALLOWED | NUMBER EXTRA | RATE | |
| Total claims | 24 | 20 | 4 | \$ 50 | \$ 0 |
| Independent claims | 1 | 3 | 0 | \$ 200 | \$ 0 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + | \$360 | \$ 0 |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$130 |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | \$ 65 |
| SUBTOTAL = | | | | | \$ 65 |
| Processing fee of \$130 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). | | <input type="checkbox"/> 20 <input type="checkbox"/> 30 + | | | |
| TOTAL NATIONAL FEE = | | | | | \$ 65 |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property | | + | | \$ 0 | |
| TOTAL FEES ENCLOSED = | | | | | \$ 65 |
| EE. 00 00 \$ <input type="text"/> | | | | | Amt. Refunded <input type="text"/> Amt. charged <input type="text"/> |
| <p>a. <input checked="" type="checkbox"/> Check in the amount of <u>\$ 65</u> to cover the above fees are enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.</p> | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: KODA & ANDROLIA 2029 Century Park East, Suite 1140 Los Angeles, CA 90067-2983 Dated: November 3, 2006 | | | | | |
|  SIGNATURE H. Henry Koda REG.NO. 27,729 | | | | | |
| Form PTO-1390 (REV 10-2003) | | | | | |

Express Mail EV801349236U
11A 3916 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WILLIAM D. RIEKEN et al.

Serial No.: 10/594,979

Filed: September 29, 2006

For: AIRCRAFT HAVING A RING-SHAPED
WING STRUCTURE

TRANSMITTAL OF DECLARATION AND ASSIGNMENT

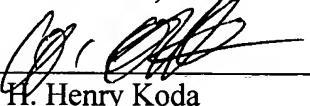
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Box: PCT

Dear Sir:

In regards to the above-identified application which was entered into the U.S. National Stage without the Declaration of the inventors, a notification pursuant to 37 CFR 1.494(c) has not yet been issued or sent to the undersigned. However, pursuant to the instructions given to the undersigned from the PCT Help Desk, enclosed herewith please find an executed Declaration & Power of Attorney form and a check in the amount of \$65.00 as the late filing fee so that the requirements for this application can be met. In addition, enclosed please find an executed Assignment, Recordation Form Cover Sheet and check in the sum of \$40.00 as the recordation fee.

If any further fees are required by the acceptance of the enclosed forms, please charge those fees to KODA & ANDROLIA DEPOSIT ACCOUNT NO. 11-1445.

Respectfully submitted,
Date: November 3, 2006

By: 

H. Henry Koda
Registration No. 27,729

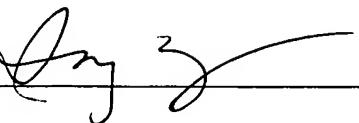
KODA & ANDROLIA
2029 Century Park East, Suite 1140
Los Angeles, California 90067-2983
Telephone: 310-277-1391
Facsimile: 310-277-4118

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV801349236US addressed to:

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

on November 3, 2006, by Inja Yi.

Dated: 11/3/06

By: 

11A 3916 PCT

TSUJIMOTO

Applicant(s):

RIEKEN, WILLIAM D. and BENSCHIEDT, JOERG

For:

AIRCRAFT HAVING A RING-SHAPED WING STRUCTURE